First Regular Session Seventy-fourth General Assembly STATE OF COLORADO

DRAFT 9.9.22

BILL 1

LLS NO. R23-0150.01 Conrad Imel x2313 INTERIM COMMITTEE CONCURRENT RESOLUTION

Legislative Interim Committee on Judicial Discipline

BILL TOPIC: "Judicial Discipline Procedures & Confidentiality" **DEADLINES:** File by: 9/9/2022

INTERIM COMMITTEE CONCURRENT RESOLUTION SUBMITTING TO THE REGISTERED ELECTORS OF THE STATE OF COLORADO AN AMENDMENT TO THE COLORADO CONSTITUTION CONCERNING JUDICIAL DISCIPLINE, AND, IN CONNECTION THEREWITH, ESTABLISHING AN INDEPENDENT JUDICIAL DISCIPLINE ADJUDICATIVE BOARD, SETTING STANDARDS FOR JUDICIAL REVIEW OF A DISCIPLINE CASE, AND CLARIFYING WHEN DISCIPLINE PROCEEDINGS BECOME PUBLIC.

Resolution Summary

(Note: This summary applies to this resolution as introduced and does not reflect any amendments that may be subsequently adopted. If this resolution passes third reading in the house of introduction, a resolution summary that applies to the reengrossed version of this resolution will be

available at http://leg.colorado.gov/.)

Legislative Interim Committee on Judicial Discipline. The concurrent resolution amends section 23 of article VI of the Colorado constitution as it relates to judicial discipline. Under existing law, the commission on judicial discipline (commission) investigates complaints of judicial misconduct; conducts formal judicial disciplinary proceedings; and may dismiss complaints, impose informal sanctions, or recommend that the Colorado supreme court impose formal sanctions. The commission may also request that the supreme court appoint special masters to hear and take evidence on a matter and report to the commission.

The resolution clarifies the commission's authority to dismiss complaints. The resolution repeals the authority of the commission to conduct formal judicial disciplinary proceedings and request appointment of special masters, and creates an independent adjudicative board (board) to conduct formal proceedings and hear appeals of the commission's orders imposing informal sanctions. The board is comprised of 4 judges, 4 attorneys, and 4 citizens. A randomly selected panel of the board, comprised of one judge, one attorney, and one citizen, conducts formal proceedings in a case. The resolution permits the panel to dismiss a complaint, impose informal sanctions, or impose formal sanctions.

The resolution sets the standards of review to be used by the supreme court when it reviews a panel's decision. In proceedings involving a complaint against a Colorado supreme court justice and those in which a Colorado supreme court justice is a complainant or a material witness in the proceeding, the resolution requires a tribunal of 7 randomly selected court of appeals judges to review the panel's decision in the same manner and using the same standards of review.

Under existing law, commission proceedings are confidential until the commission files recommendations with the supreme court. The resolution makes proceedings public at the commencement of formal proceedings. The resolution clarifies the circumstances in which the commission may release otherwise confidential information.

The resolution creates a rule-making committee to propose rules for the commission. The supreme court approves or rejects each rule proposed by the rule-making committee. The Colorado rules of evidence and Colorado rules of civil procedure, as amended, apply to proceedings before a panel of the adjudicative board until and unless the supreme court promulgates rules specifically governing panel proceedings.

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¹ Be It Resolved by the House of Representatives of the

Seventy-fourth General Assembly of the State of Colorado, the Senate

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2	SECTION 1. At the election held on November 5, 2024, the
3	secretary of state shall submit to the registered electors of the state the
4	ballot title set forth in section 2 for the following amendment to the state
5	constitution:
6	In the constitution of the state of Colorado, section 23 of article
7	VI, amend (3)(e), (3)(f), (3)(g), and (3)(h); and add (3)(c.5) and (3)(k)
8	as follows:
9	Section 23. Retirement and removal of justices and judges
10	(3) $(c.5)$ (I) There is created the independent judicial discipline
11	ADJUDICATIVE BOARD AS AN INDEPENDENT AGENCY WITHIN THE JUDICIAL
12	DEPARTMENT. THE ADJUDICATIVE BOARD SHALL CONDUCT FORMAL
13	JUDICIAL DISCIPLINARY PROCEEDINGS AND HEAR APPEALS, WHICH REMAIN
14	CONFIDENTIAL, OF THE COMMISSION'S ORDERS OF INFORMATION ACTION.
15	THE ADJUDICATIVE BOARD CONSISTS OF FOUR JUDGES WITHOUT ANY
16	JUDICIAL OR ATTORNEY DISCIPLINARY HISTORY, APPOINTED BY THE
17	SUPREME COURT; FOUR ATTORNEYS WITHOUT ANY JUDICIAL OR ATTORNEY
18	DISCIPLINARY HISTORY WHO ARE LICENSED TO PRACTICE LAW IN
19	COLORADO AND WHO RESIDE IN COLORADO, APPOINTED BY THE
20	GOVERNOR AND CONFIRMED BY THE SENATE; AND FOUR CITIZENS WHO ARE
21	NOT JUDGES OR ATTORNEYS LICENSED TO PRACTICE LAW IN COLORADO,
22	APPOINTED BY THE GOVERNOR AND CONFIRMED BY THE SENATE. WHEN
23	MAKING THE INITIAL APPOINTMENTS TO THE ADJUDICATIVE BOARD, THE
24	APPOINTING AUTHORITY SHALL DESIGNATE TWO MEMBERS FROM EACH
25	CATEGORY TO A FIVE-YEAR TERM AND TWO MEMBERS FROM EACH
26	CATEGORY TO A THREE-YEAR TERM. ALL SUBSEQUENT APPOINTMENTS ARE
27	FOR A TERM OF FIVE YEARS; EXCEPT THAT IN THE EVENT OF A VACANCY ON

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1 THE ADJUDICATIVE BOARD, THE ORIGINAL APPOINTING AUTHORITY SHALL
2 APPOINT, IN THE SAME MANNER AS AN ORIGINAL APPOINTMENT, A
3 REPLACEMENT TO SERVE THE REMAINDER OF THE TERM.

- (II) Upon order of a formal hearing pursuant to subsection (3)(e) of this section, a panel of the adjudicative board shall convene to conduct the hearing. A panel consists of one judge, one attorney licensed to practice law in Colorado, and one citizen. The state court administrator, or the administrator's designee, shall randomly select the panel from among the adjudicative board's membership. The random selection of a panel is a purely administrative function.
- (e) (I) The commission may, after such investigation as it deems necessary, DISMISS A COMPLAINT, order informal remedial action, OR order a formal hearing to be held before it A PANEL OF THE ADJUDICATIVE BOARD concerning the removal, retirement, suspension, censure, reprimand, or other discipline of a justice or a judge. or request the supreme court to appoint three special masters, who shall be justices or judges of courts of record, to hear and take evidence in any such matter and to report thereon to the commission. The JUSTICE OR JUDGE MAY APPEAL THE COMMISSION'S ORDER FOR INFORMAL REMEDIAL ACTION TO A PANEL OF THE ADJUDICATIVE BOARD. AN APPEAL OF AN INFORMAL REMEDIAL ACTION ORDER IS CONFIDENTIAL.
- (II) After a formal hearing, or after considering the record and report of the masters, if the commission ADJUDICATIVE PANEL finds good cause therefor, it THE ADJUDICATIVE PANEL may DISMISS THE CHARGES BEFORE IT; take informal remedial action; or it may recommend to the supreme court ORDER the removal, retirement, suspension, censure,

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1 reprimand, or OTHER discipline, as the case may be, of the justice or 2 judge. The commission ADJUDICATIVE PANEL may also recommend that 3 the costs of its THE investigation and hearing be assessed against such 4 justice or judge. The Justice or Judge May appeal an adjudicative 5 PANEL'S DISCIPLINARY ORDER, AND THE COMMISSION MAY APPEAL AN 6 ADJUDICATIVE PANEL'S DISMISSAL, TO THE SUPREME COURT OR, WHEN THE 7 HEARING INVOLVES A SUPREME COURT JUSTICE, TO THE TRIBUNAL 8 DESCRIBED IN SUBSECTION (3)(f)(II) OF THIS SECTION.

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Following receipt of a recommendation from the commission, the supreme court shall review the record of the proceedings on the law and facts and in its discretion may permit the introduction of additional evidence and shall order ON APPEAL OF AN ADJUDICATIVE PANEL'S ORDER FOR removal, retirement, suspension, censure, reprimand, or OTHER discipline, as it finds just and proper, or wholly reject the recommendation OR A PANEL'S DISMISSAL OF CHARGES, THE SUPREME COURT SHALL REVIEW THE RECORD OF THE PROCEEDINGS ON THE LAW AND FACTS. WHEN REVIEWING THE ADJUDICATIVE PANEL'S DECISION, THE SUPREME COURT SHALL REVIEW MATTERS OF LAW DE NOVO, REVIEW FACTUAL MATTERS TO DETERMINE WHETHER THE ADJUDICATIVE PANEL'S DETERMINATION IS CLEARLY ERRONEOUS, AND REVIEW ANY SANCTIONS IMPOSED BY THE ADJUDICATIVE PANEL FOR ABUSE OF DISCRETION. Upon an order for retirement, the justice or judge shall thereby be IS retired with the same rights and privileges as if he THE JUSTICE OR JUDGE retired pursuant to statute. Upon an order for removal, the justice or judge shall thereby be is removed from office and his the justice's or judge's salary shall cease CEASES from the date of such order. On the entry of an order for retirement or for removal of a judge, his THE JUDGE'S office

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shall be is deemed vacant. Upon a determination that a sanction imposed by the adjudicative panel is unlawful, the supreme court shall remand the proceedings to the panel that imposed the sanction with directions the court deems necessary.

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- 5 (II) IN PROCEEDINGS INVOLVING A COMPLAINT AGAINST A JUSTICE 6 OF THE COLORADO SUPREME COURT OR WHEN A COLORADO SUPREME 7 COURT JUSTICE IS A COMPLAINANT OR A MATERIAL WITNESS IN THE 8 PROCEEDING, A TRIBUNAL COMPRISED OF SEVEN COURT OF APPEALS 9 JUDGES SHALL REVIEW THE RECOMMENDATION OF THE ADJUDICATIVE 10 PANEL OR HEAR ANY OTHER APPEAL IN THE SAME MANNER AND USE THE 11 SAME STANDARDS OF REVIEW AS THE SUPREME COURT WHEN IT REVIEWS 12 RECOMMENDATIONS AND HEARS APPEALS AS DESCRIBED IN SUBSECTION 13 (3)(f)(I) OF THIS SECTION. THE STATE COURT ADMINISTRATOR, OR THE 14 ADMINISTRATOR'S DESIGNEE, SHALL RANDOMLY SELECT MEMBERS OF THE 15 TRIBUNAL FROM AMONG ALL COURT OF APPEALS JUDGES WHO DO NOT 16 HAVE A CURRENT DISCIPLINARY INVESTIGATION OR PROCEEDING PENDING 17 BEFORE THE COMMISSION OR ADJUDICATIVE BOARD; HAVE NOT RECEIVED 18 A DISCIPLINARY SANCTION FROM THE COMMISSION, ADJUDICATIVE BOARD, 19 OR SUPREME COURT; AND ARE NOT OTHERWISE REQUIRED BY LAW, COURT 20 RULE, OR JUDICIAL CANON TO RECUSE THEMSELVES FROM THE TRIBUNAL. 21 THE RANDOM SELECTION OF TRIBUNAL MEMBERS IS A PURELY 22 ADMINISTRATIVE FUNCTION.
 - (g) (I) Prior to the filing of a recommendation to the supreme court by the commission COMMENCEMENT OF FORMAL DISCIPLINARY PROCEEDINGS against any justice or judge, all papers filed with and proceedings before the commission on judicial discipline or masters appointed by the supreme court, pursuant to this subsection (3), shall be

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- ARE confidential, and the filing of papers with and the giving of testimony before the commission or the masters shall be Is privileged; but no other publication of such papers or proceedings shall be Is privileged in any action for defamation; except that the record filed by the commission in the supreme court continues privileged and a writing which THAT was privileged prior to its filing with the commission or the masters does not lose such privilege by such filing.
 - (II) NOTWITHSTANDING THE CONFIDENTIALITY REQUIREMENT DESCRIBED IN THIS SUBSECTION (3)(g), THE COMMISSION MAY:

- (A) RELEASE INFORMATION ABOUT THE STATUS OF AN EVALUATION, INVESTIGATION, OR PROCEEDING TO THE VICTIM OF MISCONDUCT OR THE COMPLAINANT;
- (B) Release information about a complaint that resulted in private or public discipline of a judge or justice to the state court administrator as necessary for the selection of a tribunal pursuant to subsection (3)(f)(II) of this section; any relevant commission on judicial performance or judicial nominating commission, the office of attorney regulation counsel, and the office of the presiding disciplinary judge, or successors to each commission or office; the office of the governor, for the purpose of judicial appointments; the judicial department, for the purpose of reviewing applicants for the senior judge program; and other limited recipients consistent with the purposes of this section allowed by rule; and
- (C) MAKE PUBLICLY AVAILABLE AGGREGATE INFORMATION ABOUT TRENDS OR PATTERNS IN COMPLAINTS MADE TO THE COMMISSION, BUT THE COMMISSION SHALL NOT MAKE PUBLIC ANY INFORMATION THAT IDENTIFIES

1 ANY SPECIFIC PERSON OR COMPLAINT.

- 2 (III) THE GENERAL ASSEMBLY MAY PROVIDE BY LAW FOR
 3 CONFIDENTIAL REPORTING AND COMPLAINANT RIGHTS CONSISTENT WITH
 4 SUBSECTION (3)(g)(II) OF THIS SECTION.
 - (h) The supreme court shall by rule provide for procedures before the commission on judicial discipline, the masters, and the supreme court. The rules shall also provide the standards and degree of proof to be applied by the commission in its proceedings. A justice or judge who is a member of the commission or supreme court shall not participate in any proceedings involving his THE JUSTICE'S OR JUDGE'S own removal or retirement.
 - (k) (I) There is created a rule-making committee to propose rules for the commission on judicial discipline. The rule-making committee consists of five members appointed by the supreme court and five members appointed by the commission. Members serve at the pleasure of their appointing authority. The rule-making committee shall elect a chair who is a member of the committee and commission. The supreme court shall review and either approve or reject each rule proposed by the rule-making committee. The rules must include the standards and degree of proof to be applied by the commission in its proceedings; confidential reporting procedures; and complainant rights during the evaluation, investigation, and hearing process. The general assembly may provide by law for confidential reporting and complainant rights.
 - (II) THE SUPREME COURT MAY PROMULGATE SPECIFIC RULES GOVERNING PROCEEDINGS BEFORE A PANEL OF THE ADJUDICATIVE BOARD.

1	THE COLORADO RULES OF EVIDENCE AND COLORADO RULES OF CIVIL
2	PROCEDURE, AS AMENDED, APPLY TO PROCEEDINGS BEFORE A PANEL OF
3	THE ADJUDICATIVE BOARD UNTIL AND UNLESS THE SUPREME COURT
4	PROMULGATES RULES GOVERNING PANEL PROCEEDINGS.
5	SECTION 2. Each elector voting at the election may cast a vote
6	either "Yes/For" or "No/Against" on the following ballot title: "Shall
7	there be an amendment to the Colorado constitution concerning judicial
8	discipline, and, in connection therewith, establishing an independent
9	judicial discipline adjudicative board, setting standards for judicial review
10	of a discipline case, and clarifying when discipline proceedings become
11	public?"
12	SECTION 3. Except as otherwise provided in section 1-40-123,
13	Colorado Revised Statutes, if at least fifty-five percent of the electors
14	voting on the ballot title vote "Yes/For", then the amendment will become
15	part of the state constitution.